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(54) Title: METHOD FOR THE TREATMENT OF NEUROLOGICAL AND NEUROPSYCHOLOGICAL DISORDERS

(57) Abstract: The present invention discloses a method for therapeutically treating an animal, including a human, for psychosomatic, depressive and neuropsychiatric diseases, such as anxiety, depression, insomnia, schizophrenia, epilepsy, spasm and chronic pain. Administration of a suitable DP IV inhibitor leads as a causal consequence to a reduced degradation of the neuropeptide Y (NPY) in the brain of mammals. Such treatment will result in a reduction or delay in the decrease of the concentration of functionally active neuronal NPY (1-36). As a consequence of the resulting enhanced stability of the endogenous NPY (1-36), NPY activity is prolonged thereby resulting among other things in functionally active NPY Y1 receptor activity thereby facilitating antidepressive, anxiolytic, analgesic, antihypertension and other neurological effects.

**Method for the treatment of neurological and neuropsychological disorders.****BACKGROUND OF THE INVENTION****Field of the invention**

The present invention relates to the maintenance or potentiation of endogenous neurological and neuropsychological effects of brain neuropeptide Y (NPY) systems by administration of inhibitors of dipeptidyl peptidase IV (DP IV) and of DP IV-like enzymes. The invention relates further to the treatment of hypertension, fever, sleep dysregulation, anorexia, anxiety related disorders including depression, seizures including epilepsy, drug withdrawal and alcoholism, neurodegenerative disorders including cognitive dysfunction and dementia, and neuropsychiatric disorders including schizophrenia, via a potentiation of NPY Y1 receptor mediated effects within the central nervous system (CNS).

**Background Art****DP IV and NPY**

DP IV (CD26; EC 3.4.14.5) is an exopeptidase with a triple functional role. DP IV is involved in the release of Xaa-Pro dipeptides from the N-terminus of polypeptides, circulating hormones and chemokines (Mentlein et al., 1999; Pauly et al., 1999), in T cell dependent immune responses (Kahne et al., 1999; Korom et al., 1997) and in metastasis (Cheng et al., 1998; 2000). DP IV selectively cleaves peptides after penultimate N-terminal proline and alanine residues. Endogenous substrates for this enzyme include the incretins, such as glucose-dependent insulinotropic polypeptides, like GIP and GLP-1. In the presence of DP IV, these hormones are enzymically degraded to inactive forms.

**Discovery of NPY**

Neuropeptide Y (NPY), a 36 amino acid peptide belonging to the pancreatic polypeptide family, was first isolated from porcine brain in 1982 (Tatemoto and Mutt, 1982). NPY is present in all sympathetic nerves innervating the cardiovascular system and is the most abundant peptide in the brain and the heart. Additionally, in rats, but not in humans, NPY is also found extraneuronally in platelets and endothelium (Zukovska-Grojec et al., 1993). Originally, NPY was known as a potent vasoconstrictor and a neuromodulator. Released by stress, exercise, and myocardial ischemia, NPY has been implicated in coronary heart disease, congestive heart failure, and hypertension (Zukovska-Grojec et al, 1998). More recently, because of the potent ability of NPY to stimulate food intake, it is suspected to play a role in obesity and diabetes (Kalra et al., 1999). Latest findings indicate that NPY is also a mitogen for rat aortic vascular smooth muscle cells (Zukovska-Grojec et al., 1999).

NPY-related research has focussed on at least three main directions: (1) Co-transmission and sympathetic vasoconstriction, because of its co-expression with noradrenaline; (2) neurotransmission and function within the CNS, because of potent consummatory effects; and (3) evolution of NPY, since NPY is one of the most highly conserved bio-active peptides known (Colmers and Wahlestedt, 1993; Lundberg, 1996; Wahlestedt and Reis, 1993; Wettstein et al., 1996). NPY acts on at least six receptors (Y<sub>1</sub>-Y<sub>6</sub>), with varying peptide pharmacology and distinct distribution in the CNS (Gehlert, 1998) (Tab. 1).

#### Distribution of NPY, NPY receptor subtypes and mRNA

The distribution of NPY itself, NPY receptor protein and their mRNA within the CNS of human and rat brains has recently been reviewed (Dumont Y, Jacques D, St-Pierre, J.-A., Tong, Y., Parker, R., Herzog H. and Quirion, R., 2000; in *Handbook of Chemical Neuroanatomy*, Vol. 16: Peptide Receptors, Part I; Quirion, R., Björklund, A. and Hökfeld, T., editors). A brief survey is given in Tab. 1.

NPY-containing neurons are evident in the nasal mucosa of various species including man, often associated with glandular acini and blood vessels (Baraniuk et. Al., 1990; Grunditz et. al., 1994). Stimulation of the parasympathetic nerve supply to the nasal mucosa (vidian nerve) in dogs increases blood flow in the region and causes mainly atropine resistance. Intravenous administration of NPY reduces vasodilation due to parasympathetic nerve stimulation, an effect that was not mimicked by the NPY-Y<sub>1</sub>- selective agonist [Leu<sub>31</sub>, Pro<sub>34</sub>]NPY, but was mimicked by administration of the NPY Y<sub>2</sub>-receptor agonist N-acetyl[Leu<sub>28</sub>,Leu<sub>31</sub>]NPY(24-36) (Lacroix et al., 1994). This is consistent with a prejunctional NPY Y<sub>2</sub>- like receptor-mediated inhibition of transmitter release from parasympathetic nerve terminals.

#### NPY receptor function

NPY is unarguably the most abundant neuropeptide discovered to date, with a wide distribution in the CNS and the peripheral nervous system (PNS). NPY forms a family of peptides together with peptide YY (PYY) (approximately 70% homology) and pancreatic polypeptide (PP) (approximately 50% homology); both NPY and PYY are extremely bio-active, whereas PP is generally much less active (Gehlert, 1998; Wahlestedt and Reis, 1993) (Tab. 2).

Two receptor subtypes of NPY have been called neuropeptide Y Y<sub>1</sub> (postjunctional) and neuropeptide Y Y<sub>2</sub> (prejunctional) on the basis of the different responses to a truncated analog of the related peptide YY- (13- 36), when compared with neuropeptide Y in *in vitro* assay systems (Wahlestedt et al., 1986). Activation of neuronal prejunctional NPY receptors generally inhibits nerve activity, reducing the release of neurotransmitters in response to nerve impulses and in response to local factors acting to release neurotransmitters (Wahlestedt et al., 1986). The prejunctional or neuropeptide Y Y<sub>2</sub>

receptor classification was based on actions of peptide YY (13-36) but in many systems this molecule, as well as neuropeptide Y-(13-36), does exhibit pressor activity (Rioux et al., 1986; Lundberg, et al., 1988; Potter et al., 1989). This has been interpreted by some to indicate that in some vascular beds there are two types of neuropeptide Y receptors (both neuropeptide Y Y<sub>1</sub> and neuropeptide Y<sub>2</sub>) on postjunctional membranes (Schwartz et al., 1989). However the lack of selectivity of these molecules may be due to retention of partial agonistic activity on Y<sub>1</sub> receptors, which permits them to evoke a reduced functional response. Previously, a 13-36 analog of neuropeptide Y, (Leu 17 , Glu", Ala 21 , Ala 22 , Glu 23 , LeU28, LeU31) neuropeptide Y- (13-36) (ANA neuropeptide Y-(13-36)) which displayed prejunctional activity equivalent to the whole neuropeptide Y molecule in studies *in vivo* was described (Potter et al., 1989).

Apart from these historically well-defined neuropeptide Y receptors the existence of a number of other subtypes (Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub> and Y<sub>6</sub>) has been suggested on a pharmacological basis (Michel et al., 1998) and details of the cloning of receptors corresponding to Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>4</sub> and Y<sub>5</sub> have been published (Herzog et al., 1992; Gerald et al., 1995; Bard et al., 1995; Gerald et al., 1996) (Tab. 1). The distribution and physiological significance of these various receptor subtypes has yet to be defined. Although some controversy has existed about the selectivity of truncated forms of neuropeptide Y for one or other receptor subtype (Potter et al., 1989), the emerging picture supports the initial classification into pre- and postjunctional receptor subtypes. Cell lines have been developed which express specifically one neuropeptide Y receptor subtype and the development of receptor-selective analogs of neuropeptide Y has focussed mainly on binding characteristics in these cell lines (Sheikh et al., 1989; Aakerlund et al., 1990; Fuhlendorff et al., 1990). More recently, a cDNA encoding the neuropeptide Y Y<sub>1</sub> receptor has been cloned and cell lines expressing the cloned receptor have been analyzed for both specific binding of neuropeptide Y analogs (Herzog et al., 1992) and functional responses elicited by specific analogs. From such binding studies, combined with subsequent studies *in vivo*, two analogs have been classified as acting specifically on the postjunctional neuropeptide Y Y<sub>1</sub> receptor. These neuropeptide Y Y receptor selective analogs, (Pro 34) neuropeptide Y and (Leu", Pro 34 ) neuropeptide Y, mimic the action of neuropeptide Y in raising blood pressure, and also share similar binding to cell lines expressing only neuropeptide Y Y receptors e.g. the human neuroblastoma cell line SK-N-MC and fibroblast lines expressing the cloned neuropeptide Y Y<sub>1</sub> receptor (Herzog et al., 1992). Neither exhibits the neuropeptide Y Y<sub>2</sub> receptor action an inhibition of cardiac vagal action *in vivo*, a manifestation of inhibition of acetylcholine release (Potter et al., 1991; Potter and McCloskey, 1992).

**TABLE 1: DISTRIBUTION AND FUNCTION OF NPY RECEPTOR SUBTYPES WITHIN THE CNS**

Receptor-subtype	CNS Expression	Function	Selective Agonist	Selective Antagonist or selectivity
Y1	Cortex, etc.	Anxiolysis, LHRH Release	Intact N-Terminus: [Leu31,Pro34]NPY	BIBP3226; BIBO 3304
Y2	Hippocampus, Hypothalamus	Antiamnestic	C-terminale End: PYY3-36; PYY13-36	T4[NPY(33-36)]4; BIIE0246
Y3	Ncl. Tractus Solitarius (NTS)	Bradycardia, Hypotension	NPY>>PYY, [Leu31,Pro34]NPY	PYY - Insensitivity
Y4	Dorsal vagal Complex (DVC)	Emetic	PP>>NPY, PYY	PP - Preferring
Y5 (a)	Hypothalamus	Feeding	NPY, PYY, [Leu31,Pro34]NPY	[Leu31,Pro34]NPY - sensitive, BIBP3226 - non-reversible
Y5 (b) or Y6	Hypothalamus	?; species specific	?	?

Tab. 1: NPY Receptor subtypes within the CNS; ? = unknown or not investigated

The development of the high affinity, non-peptide NPY antagonists, BIBP3226 and BIBO3304, has facilitated the functional characterization of NPY receptors, as this compound shows selectivity for Y1R, being devoid of activity on at least Y2R, Y3R and Y4R (Doods et al., 1996). Recently, a two Y2 receptor antagonist has been described. One is a TASp-molecule (Grouzmann et al., 1997), the other a non-peptide antagonist (Wieland et al., 1999) and other non-peptide receptor specific compounds became available (Daniels et al., 1995). Thus, specific receptor blockade within the brain would allow the functional characterization of behavioral and physiological effects mediated by central NPY receptors. In addition, mice lacking the Y1R were generated and are available (Pedrazzini et al., 1998). Neurons showing NPY-like immunoreactivity and NPY receptor expression are abundant in the CNS (Tab. 1), and perhaps are most notably found in hypothalamic and so-called limbic structures, but are also co-localized with brain stem monoaminergic neurons and cortical GABA-ergic neurons (Chronwall, 1985; Dumont et al., 1996).

**TABLE 2: RECEPTOR SUBTYPES UND PEPTIDE SELECTIVITY**

Receptor subtype	Peptide Potency
<u>Y1-like</u>	
Y1	NPY = PYY = Pro <sup>34</sup> -NPY > PP > NPY <sub>13-36</sub>
Y4	PP >> NPY = PYY = LP-NPY > NPY <sub>13-36</sub>
Y6	NPY = PYY = Pro <sup>34</sup> -NPY > NPY <sub>13-36</sub> > PP
<u>Y2-like</u>	
Y2	NPY = PYY = NPY <sub>13-36</sub> > Pro <sup>34</sup> -NPY > PP
<u>Y5-like</u>	
Y5	NPY = PYY = Pro <sup>34</sup> -NPY > NPY <sub>13-36</sub> > PP
<u>Not cloned</u>	
PP receptor	PP >> PYY = NPY
	Y3 NPY = Pro <sup>34</sup> -NPY = NPY <sub>13-36</sub> >> PYY
PYY-preferring	PYY > NPY >> NPY <sub>13-36</sub> >> Pro <sup>34</sup> -NPY

Tab. 2: Receptor subtypes and peptide selectivity according to Gehlert, 1998.

### NPY, anxiety and depression

Anxiolytic-like effects of NPY have been demonstrated using the elevated plus maze test (Montgomery), the punished drinking test (Vogel), and the punished responding test (Geller-Seifert), with potency and efficacy matching those of benzodiazepines (Griebel, 1999; Heilig et al., 1989; Wettstein et al.; 1995). NPY acts anxiolytic-like on the response to novelty (Heilig and Murison, 1987; von Hörsten et al., 1998b), and produces anxiolytic-like effects on the elevated plus maze and other anxiety related tests (Wahlestedt and Reis, 1993; Wahlestedt et al., 1993). Interestingly, Y1 receptor antisense-treated rats showed marked anxiety-related behaviors, without alterations of locomotor activity and food intake (Wahlestedt et al., 1993). Additionally, in the Flinder rat strain, a genetic model of depression, Y1 receptor mRNA expression was decreased in different cortical regions and the dentate gyrus of the hippocampus, while Y2 receptor mRNA expression did not differ from controls (Caberlotto et al., 1998). Olfactory bulbectomy in the rat has been developed as a model of depression (Leonard and Tuite, 1981). In this model, most of the changes resemble those found in depressed patients (Song et al., 1996). A 7-day i.c.v. administration of NPY in olfactory bulbectomized rats attenuated behavioral and neurotransmitters deficits in this model (Song et al., 1996). NPY Y1, Y2, and possibly Y5 receptors, seem to be involved in the regulation of anxiety levels in rodents, with Y1-mediated effects being best characterized (Heilig et al., 1993; Kask et al., 1998b). It can be concluded, therefore, that endogenous NPY counteracts stress and anxiety (Heilig et al., 1994). Furthermore, these data suggest that the Y1 receptor subtype could be implicated in anxiety- and depression-related behaviors. Additionally, Kask et

al. (1996) reported that i.c.v. injection of the Y<sub>1</sub> antagonist, BIBP3226, produced anxiogenic-like effects in the elevated plus-maze test, without any locomotor deficit. This effect can be reproduced by the administration of BIBP3226 in the dorsal periaqueductal gray matter but not in the locus coeruleus or the paraventricular nucleus of the hypothalamus (Kask et al., 1998c). Moreover, BIBP3226 and GR231118 administered into the dorsal periaqueductal gray matter decreased the time spent in active social interaction in rats (Kask et al., 1998d). The brain regions which are important for the anti-stress action of NPY include but may not be limited to the amygdala (Sajdyk et al., 1999, Thorsell et al., 1999), locus coeruleus (Kask et al., 1998c) and dorsal periaqueductal gray (Kask et al., 1998a,b). Amygdala NPY is not released under low stress conditions since blockade of NPY Y<sub>1</sub>R with BIBP3226 or BIBO3304 did not increase anxiety as measured in the elevated plus-maze and social interaction tests (Kask et al., 1998b; Sajdyk, 1999). Constant NPY-ergic tone, however, seems to exist in the dorsal periaqueductal gray matter, where the NPY Y<sub>1</sub>R antagonist had anxiogenic like effects in both experimental anxiety models (Kask et al., 1998a,b). Thus, in certain brain regions, there may be a tonic regulation of anxiety via NPY systems.

#### Neurological and psychophysiological effects of CNS NPY systems: Pleiotropy

Thus, numerous studies have addressed the physiological functions of NPY and its congeners in the CNS (for reviews see: Kalra and Crowley, 1992; Dumont et al., 1992; Stanley, 1993; Wahlestedt and Reis, 1993; Grundemar et al., 1993; Gehlert, 1994, 1998; Colmers and Bleakman, 1994; Wettstein et al., 1995; Heilig and Widerlow, 1995; Munglani et al., 1996; Inui, 1999; Bischoff and Michel, 1999; Vezzani et al., 1999) and demonstrated a broad range of effects. No pharmacological approaches exist, at present, to gain advantage of these various physiological functions.

#### Current problems in the treatment of anxiety related disorders using benzodiazepines or NPY

The current methods for treatment of anxiety are accompanied by several problems:

The benzodiazepines that are commonly used as anxiolytic agents are unnatural compounds with a low or no selectivity. Beside their anxiolytic activity, the benzodiazepines show sedative and anti-epileptic effects and are suspected to influence muscle relaxation. Unfortunately, they are associated with a number of unwanted side effects, namely tiredness, sleepiness, lack of concentration, reduction of attentiveness and reactivity. Chronic application of benzodiazepines causes neurological disorders, like ataxia, dizziness, reflex loss, muscle and language disorders. A long-term treatment with benzodiazepines is predicted to entail dependency and addiction.

The direct i.c.v. administration of neuropeptide Y for the long-term treatment of anxiety in patients is not feasible.

Presence of DP IV and DP IV-like activity in the brain of mammals

Hartel-Schenk et al., 1990, studied the occurrence of DP IV during the development in Wistar rat organs on day 10, 16 and 21 of gestation and on day 1, 4, 8, 13, 21, 26 and 60 after birth comparing immunohistochemistry and activity histochemistry. In all investigated tissues, immunoreactivity with the polyclonal antibody appeared earlier than DP IV activity and was already present on day 10 of gestation in the plasma membranes of embryonic and extraembryonic (decidual) cells. At these and other sites, e.g. brain capillary endothelium and tracheal or bronchial epithelium, immunoreactivity with the polyclonal antibody decreased or disappeared after birth and enzyme activity never developed. Immunoreactivity with the monoclonal antibodies appeared later than that with the polyclonal antibody, and mostly in those structures where DP IV activity was subsequently found. The monoclonal antibody against epitope D showed a high reactivity in the epididymal duct, renal collecting ducts and in all domains of the hepatocyte plasma membrane, where neither DP IV activity nor immunoreactivity with the other antibodies were observed. Their results also suggest that DP IV might be present as a molecule before it becomes catalytically active and that immunoreactivity occurs at more sites than DP IV activity. By means of immunohistochemical techniques Bernstein et al, 1987, have investigated the presence of dipeptidyl aminopeptidase IV immunoreactivity in brain material derived from human fetuses, newborns and aged persons. It was revealed that the enzyme protein is abundantly present in the immature human CNS. On the contrary the adult human brain contains much less dipeptidyl aminopeptidase IV immunoreactivity. It is speculated that the enzyme might play an important role in neuronal proliferation and/or differentiation especially with regard to its possible action on certain neuronotrophic peptides (IGF II, growth hormone). Aminopeptidase M (APM), aminopeptidase A (APA), dipeptidyl peptidase IV (DP IV) and gamma-glutamyl transferase (GGT) were demonstrated histochemically in cryostat sections of the rat brain to show the reaction pattern of ependyma, choroid plexus and leptomeninges. GGT was only demonstrable in the cell membranes of ependymal cells and in the leptomeninges; however, APA, APM and DP IV showed a variable degree of activity in the capillary endothelium of the choroid plexus as well as in the leptomeninges. (Mitro & Lojda, 1988). Kato et al., 1979 found X-prolyl dipeptidyl-aminopeptidase activity in rat brain and examined the developmental changes at various ages. The total enzyme activity per brain increased until 4 weeks of age, and then decreased during maturation. Specific activity in young rat brain was higher than that in adult rat brain. The properties of the brain enzyme were different from those of pituitary and other tissues. Nässel et al., 2000, investigated the occurrence of proline-specific DP IV-activity in cockroach tissues. Partly purified DP IV-activity was characterized from the brain and midgut of *L. maderae* by using Gly-Pro-4-nitroanilide as a substrate. The highest activity was obtained from the membrane fraction of intestine; about 10 times less activity (per milligram protein) was obtained from brain membranes. It was reported that while 55% of the total post-proline dipeptidyl-aminopeptidase activity

in guinea-pig brain is associated with the soluble fraction of the cells, the remaining activity is widely distributed throughout the particulate fractions. A significant portion of this particulate activity is, however, associated with a synaptosomal membrane fraction. (O'Connor & O'Cuinn, 1986).

Histochemical investigation by means of light and electron microscopy revealed the presence of dipeptidyl peptidase IV activity in Meissner corpuscles of macaque glabrous skin. The enzyme activity was found in fibroblast-like cells forming an incomplete capsule around the Meissner corpuscle. Distinct electron-dense reaction product due to dipeptidyl peptidase IV activity was consistently localized in the plasma membrane of specialized Schwann cells enveloping the unmyelinated portion of sensory axons. Their axolemma was devoid of dipeptidyl peptidase IV reaction product. (Dubovy, 1988)

De Bault & Mitro, 1994, examined the localization of membrane proteases glutamyl aminopeptidase (EAP), microsomal alanyl aminopeptidase (mAAP), dipeptidyl peptidase IV (DP IV) and gamma-glutamyl transpeptidase (gamma-GTP) in vessels of the rat subfornical organ (SFO), ependyma which cover the surface of the SFO, and adjacent brain structures. Results of enzyme histochemical reactions showed strong activity for EAP, mAAP, and gamma-GTP, but, in contrast to the above findings, absence of DP IV in microvessels of SFO. The ependyma which cover the SFO was positive for gamma-GTP, but negative for other studied proteases. Our results showed that the spectrum of enzymes in the majority of the vessels of SFO is similar to that of the microvessels of the adjacent brain tissue which were positive for EAP, mAAP, and gamma-GTP, but negative for DP IV. Using antibodies against and cDNA for DP IV. Hong et al., 1989, assessed the tissue distribution of DP IV by molecular approaches in rat. Immunoblot analysis demonstrated that DP IV is present in the kidney, lung, and small intestine at high levels, in the liver and spleen at moderate levels, and in the heart at low levels. The highest levels of mRNA for DP IV were detected in the kidney and small intestine as compared to moderate levels found in the lung, liver, and spleen. The lowest levels of DP IV mRNA were found in the stomach, testis, heart, muscle and brain.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide a medicament beneficial for neurological and psychophysiological effects. It is especially an object of the present invention, to provide a medicament beneficial for the behavioral and/or neurological adaptive responsiveness to stress including anxiety.

In addition, it is an object of the present invention to overcome or reduce the above stated problems of the prior art by providing a pharmacological approach that results in a maintained or prolonged activity and/or effect of NPY in the brain of mammals.

These objects are solved by the use of an inhibitor of dipeptidyl peptidase IV (DP IV or CD26) or of a DP IV like enzyme for the production of a medicament for modulating behavioral and/or neurological responsiveness to stress including anxiety.

This results in the magnification of endogenous neurological or neuropsychological effects mediated by NPY Y1 receptors, including but not limited to a reduction of anxiety, treatment of hypertension, fever, sleep dysregulation, anorexia, anxiety related disorders including depression, seizures including epilepsy, drug withdrawal and alcoholism, neurodegenerative disorders including cognitive dysfunction and dementia, and neuropsychiatric disorders including schizophrenia diagnosed in a subject.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the DP IV enzymatic activity in serum of Fischer 344 (F344) rat substrains from Hannover (HAN), United States (USA), German (GER) and Japanese (JAP) breeders. The results are mean ( $\pm$ SEM) of 4-5 age-matched animals per genotype. Analysis of variance revealed a significant effect of "substrain" with  $F(3, 15)$ : 50.4,  $p<0.0001$ . Asterisks indicate significant PLSD post hoc effects vs. "wild-type" F344USA and F344HAN substrains ("\*\*\*\*" =  $p<0.0001$ ).

Figure 2 shows the time spent in active social interaction (SI) time in Fischer 344 (F344) rat substrains from Japanese (JAP), United States (USA) and German (GER) breeders. An increase of the SI time in the rat social interaction test of anxiety is interpreted as an anxiolytic-like response. The results are mean ( $\pm$ SEM) of 12 age-matched animals per genotype. Analysis of variance revealed a significant effect of "substrain" with  $F(2, 32)$ : 8.8,  $p=0.0009$ . Asterisks indicate significant PLSD post hoc effects vs. "wild-type" F344USA rats ("\*\*" =  $p<0.01$ ; "\*\*\*\*" =  $p<0.001$ ).

Figure 3 shows the change of body weight after stress on three consecutive days. On three consecutive days, age matched animals from Japanese (JAP), United States (USA) and German (GER) breeders were individually transported to a novel room and remained there for 1h. On the first day a novel cage containing sawdust was used and animals placed in a standard animal rack. On the second day procedure was the same except that the cage was without sawdust. The stress procedure on the third was the same as on day 2 except that the cage was placed on the bottom of the novel room. Analysis of variance for repeated measures revealed a significant effect of "substrain" with  $F(2, 30)$ : 13.5,  $p=0.0004$ . Asterisks indicate significant PLSD post hoc effects one factor ANOVAs split by day vs. "wild-type" F344USA ("\*" =  $p<0.05$ ; "\*\*" =  $p<0.01$ ).

Figure 4 shows the effect of i.c.v. isoleucyl thiazolidine treatment on the distance traveled in four consecutive minutes of open field testing. Analysis of variance for repeated measures revealed no significant effect of treatment on this parameter of activity ( $F(3, 78)$ : 0.7,  $p=0.5$ ; n.s.).

Figure 5 shows the effect of i.c.v. isoleucyl thiazolidine treatment on the time spent close to the wall as a sum of four consecutive minutes of open field testing. Analysis of variance revealed a significant effect of "treatment" with  $F(3, 26)$ : 4.1,  $p=0.015$ . Asterisks indicate significant PLSD post hoc effects vs. "aCFS" controls ("\*" =  $p<0.05$ ).

Figure 6 shows the effect of i.c.v. isoleucyl thiazolidine treatment on the percentage of time spent on the open arms of the elevated plus maze (EPM). Analysis of variance revealed a significant effect of "treatment" with  $F(3, 26)$ : 3.0,  $p=0.048$ . Asterisks indicate significant PLSD post hoc effects vs. "aCFS" controls ("\*" =  $p<0.05$ ).

Figure 7 shows the effect of combined i.c.v. treatment using aCSF, isoleucyl thiazolidine and NPY in combinations at different dosages (isoleucyl thiazolidine: 5pmol-500nmol; NPY: 50pmol-1.6nmol). The time spent in active social interaction (SI-time) in the social interaction test of anxiety was measured. An increase of the SI-time is indicative for an anxiolytic like effect. After habituation to the testing procedure animals were repeatedly tested with randomly chosen treatment and always-new interaction partners. Tests were separated with at least 4 days from each other. For each test, spanning four groups of 5-6 animals per condition, analysis of variance revealed the following significant effects of "treatment" (aCSF+aCSF; aCSF+NPY; isoleucyl thiazolidine+aCSF; isoleucyl thiazolidine+NPY) from left to right: isoleucyl thiazolidine 5pmol+NPY 50pmol:  $F(3, 18)$ : 0.25,  $p=0.8$ , n.s.; isoleucyl thiazolidine 50pmol+NPY 100pmol:  $F(3, 18)$ : 22.4,  $p<0.0001$ ; isoleucyl thiazolidine 500pmol+NPY 200pmol:  $F(3, 20)$ : 8.6,  $p=0.007$ ; isoleucyl thiazolidine 50nmol+NPY 0.8nmol:  $F(3, 20)$ : 23.3,  $p<0.0001$ ; and isoleucyl thiazolidine 500nmol+NPY 1.6nmol:  $F(3, 20)$ : 11.2,  $p=0.0008$ . Asterisks indicate significant PLSD post hoc effects vs. "aCFS+aCSF" controls and as indicated by bars between aCSF+NPY vs. isoleucyl thiazolidine+NPY ("\*" =  $p<0.05$ ).

Figure 8 shows the effect of combined i.c.v. treatment using aCSF, isoleucyl thiazolidine and NPY in combinations at different dosages (isoleucyl thiazolidine: 5pmol-500nmol; NPY: 50pmol-1.6nmol). The amount of food eaten within 1 h was measured. Animals were repeatedly tested with randomly chosen treatments. Tests were separated with at least 4 days from each other. For each test, spanning four groups of 5-6 animals per condition, analysis of variance revealed the following significant effects of "treatment" (aCSF+aCSF; aCSF+NPY; isoleucyl thiazolidine+aCSF; isoleucyl thiazolidine+NPY) from left to right: isoleucyl thiazolidine 5pmol+NPY 50pmol:  $F(3, 18)$ : 7.0,  $p=0.0025$ ; isoleucyl thiazolidine 50pmol+NPY 100pmol:  $F(3, 20)$ : 4.5,  $p=0.016$ ; isoleucyl thiazolidine

500pmol+NPY 200pmol: F(3, 20): 4.4, p=0.015; isoleucyl thiazolidine 50nmol+NPY 0.8nmol: F(3, 20): 6.6, p=0.0027; and isoleucyl thiazolidine 500nmol+NPY 1.6nmol: F(3, 20): 13.7, p<0.0001. Asterisks indicate significant PLSD post hoc effects vs. "aCSF+aCSF" controls and as indicated by bars between aCSF+NPY vs. isoleucyl thiazolidine+NPY ("\*" = p<0.05).

Figure 9 shows the effect of combined i.c.v. treatment using aCSF, isoleucyl thiazolidine and NPY in combinations at different dosages (isoleucyl thiazolidine: 5pmol-500nmol; NPY: 50pmol-1.6nmol). The amount of food eaten within 12h was measured. For each test, spanning four groups of 5-6 animals per condition, analysis of variance revealed the following significant effects of "treatment" (aCSF+aCSF; aCSF+NPY; isoleucyl thiazolidine+aCSF; isoleucyl thiazolidine+NPY) from left to right: isoleucyl thiazolidine 5pmol+NPY 50pmol: F(3, 18): 0.5, p=0.7, n.s.; isoleucyl thiazolidine 50pmol+NPY 100pmol: F(3, 20): 0.17, p=0.9, n.s.; isoleucyl thiazolidine 50nmol+NPY 0.8nmol: F(3, 20): 1.2, p=0.3; and isoleucyl thiazolidine 500nmol+NPY 1.6nmol: F(3, 20): 3.4, p=0.039. Asterisks indicate significant PLSD post hoc effects vs. "aCSF+aCSF" controls and as indicated by bars between aCSF+NPY vs. isoleucyl thiazolidine+NPY ("\*" = p<0.05).

Figure 10 shows the effect of combined i.c.v. treatment using the Y1R antagonist BIBP3226, isoleucyl thiazolidine and NPY in combinations (BIBP3226: 100nmol; isoleucyl thiazolidine: 50nmol; NPY: 0.8nmol). The time spent in active social interaction (SI-time) in the social interaction test of anxiety was measured. An increase of the SI-time is indicative for an anxiolytic like effect. After habituation to the testing procedure animals were randomly assigned to i.c.v. treatment protocols and same treatment interaction partners. Tests spanned two consecutive with a total of 6-8 animals per treatment condition. Analysis of variance revealed a significant effect of "treatment" with F(7, 44): 33.6, p<0.0001 spanning the following groups: (1) aCSF+aCSF+aCSF; (2) BIBP +aCSF+aCSF; (3) aCSF+isoleucyl thiazolidine+aCSF; (4) BIBP +isoleucyl thiazolidine+aCSF; (5) aCSF+aCSF+NPY; (6) BIBP +aCSF+NPY; (7) aCSF+P32/89+NPY; (8) BIBP +isoleucyl thiazolidine+NPY. The level of significance in post hoc comparisons vs. controls (aCSF+aCSF+aCSF) is indicated by asterisks with "\*" = p<0.05; "\*\*\*" = p<0.01; "\*\*\*\*" = p<0.001) and vs. corresponding antagonistic treatment (BIBP +n.n.) by "#" symbols with "#" = p<0.05; "##" = p<0.01; "###" = p<0.001). All data are presented as means ± S.E.M.

Figure 11 shows the effect of combined i.c.v. treatment on 1h food-intake using the Y1R antagonist BIBP3226, isoleucyl thiazolidine and NPY in combinations (BIBP3226: 100nmol; isoleucyl thiazolidine: 50nmol; NPY: 0.8nmol). After habituation to the testing procedure animals were randomly assigned to i.c.v. treatment protocols and same treatment interaction partners. Tests spanned two consecutive with a total of 6-8 animals per treatment condition. Analysis of variance revealed a

significant effect of "treatment" with  $F(7, 44)$ : 5.4,  $p<0.0002$  spanning the following groups: (1) aCSF+aCSF+aCSF; (2) BIBP +aCSF+aCSF; (3) aCSF+isoleucyl thiazolidine+aCSF; (4) BIBP +isoleucyl thiazolidine+aCSF; (5) aCSF+aCSF+NPY; (6) BIBP +aCSF+NPY; (7) aCSF+P32/89+NPY; (8) BIBP +isoleucyl thiazolidine+NPY. The level of significance in post hoc comparisons vs. controls (aCSF+aCSF+aCSF) is indicated by asterisks with "\*" =  $p<0.05$ ; "\*\*\*" =  $p<0.01$ ; "\*\*\*\*" =  $p<0.001$ ) and vs. corresponding antagonistic treatment (BIBP +n.n.) by "#" symbols with "#" =  $p<0.05$ ; "##" =  $p<0.01$ ; "###" =  $p<0.001$ ). All data are presented as means  $\pm$  S.E.M.

## DETAILED DESCRIPTION OF THE INVENTION

In contrast to other proposed methods in the art, the present invention provides an orally available therapy with low molecular weight inhibitors of dipeptidyl peptidase IV or DP IV-like enzymes. The instant invention represents a novel approach for the treatment of anxiety and other neurological or psychological disorders in mammals. It is user friendly, commercially useful and suitable for use in a therapeutic regime, especially concerning human disease.

Examples for orally available low molecular weight dipeptidyl peptidase IV inhibitors are agents such as, N-(N'-substituted glycyl)-2-cyanopyrrolidines, L-*threo*-isoleucyl thiazolidine, L-*allo*-isoleucyl thiazolidine, L-*threo*-isoleucyl pyrrolidine, L-*allo*-isoleucyl thiazolidine, and L-*allo*-isoleucyl pyrrolidine. They are described in US 6, 001, 155, WO 99/61431, WO 99/67278, WO 99/67279, DE 198 34 591, WO 97/40832, DE 196 16 486 C 2, WO 98/19998, WO 00/07617, WO 99/38501, and WO 99/46272, the teachings of which are herein incorporated by reference in their entirety. The goal of these agents is to inhibit DP IV, and by doing so, to lower blood glucose levels thereby effectively treating hyperglycemia and the attendant disease associated with elevated levels of glucose in the blood.

DP IV is an enzyme that is an exopeptidase, which selectively cleaves peptides after penultimate N-terminal proline and alanine residues. Endogenous substrates for this enzyme include the incretins, such as glucose-dependent insulinotropic polypeptides, like GIP and truncated GLP-1. In the presence of DP IV, these hormones are enzymically reduced to inactive forms. The inactive form of GIP and GLP-1 cannot induce insulin secretion, thus blood glucose levels are elevated, especially in the hyperglycemic state. Elevated blood glucose levels have been associated with many different pathologies, including diabetes mellitus (Type 1 and 2) and the sequel accompanying diabetes mellitus. DP IV-like enzymes can, e.g., be selected by subjecting peptidases to a test for selectivity cleaving peptides after penultimate N-terminal proline and alanine residues, selecting a peptidase which effects such a cleavage and isolating the peptidase.

It has also been discovered that DP IV plays a role in T-cell-mediated immune responses, for example, in organ transplantation. Inhibition of DP IV has been demonstrated to prolong cardiac allografts. Additionally, the inhibition of DP IV has contributed to the suppression of rheumatoid arthritis. DP IV has also been attributed a role in HIV's penetration into T-cells (T-helper cells).

These various effects of dipeptidyl peptidase IV inhibitors imply their impact on normal healthy tissues and organs, when they are used for the treatment of a pathologically altered tissue. The goal of the present invention is the development of brain targeted agents which maintain, increase or prolong the effect or activity of NPY and which display a high bioavailability and an exactly predictable activity time in the target tissue.

Examples for target specific, orally available low molecular weight agents are prodrugs of stable and unstable dipeptidyl peptidase IV inhibitors which comprise general formula A-B-C, whereby A represents an amino acid, B represents the chemical bond between A and C or an amino acid, and C represents an unstable or a stable inhibitor of dipeptidyl peptidase IV, respectively. They are described in WO 99/67278, WO 99/67279 the teachings of which are herein incorporated by reference in their entirety.

The present invention relates to a novel method in which the administration of an inhibitor of the enzyme dipeptidyl peptidase (DP IV or CD 26) or of DP IV-like enzyme activity in the brain of mammals leads as a causal consequence to a reduced degradation of the neuropeptide Y (NPY). Such treatment will result in a reduction or delay in the decrease of the concentration of functional active NPY (1-36).

According to the present invention it has been found that the effect and/or activity of NPY in the brain of mammals, especially humans, can be maintained or prolonged by the administration of inhibitors of dipeptidyl peptidase IV (DP IV) or a DP IV-like enzyme. Thereby, the degradation of NPY in the brain can be reduced. This results in an alleviation or improvement of psychosomatic, depressive and/or neuropsychiatric diseases. The instant invention especially represents a novel approach for the treatment of anxiety and other neurological or psychological disorders. It is user friendly, commercially useful and suitable for use in a therapeutic regime, especially concerning human disease.

The finding that the administration of inhibitors of DP IV or DP IV-like enzymes can prevent or slow down the degradation of NPY in the brain is particularly surprising for the following reasons: . Hong et al., 1989, assessed the tissue distribution of DP IV in rat tissues, using antibodies against and cDNA for DP IV. Immunoblot analysis demonstrated that DP IV is present in the kidney, lung, and small intestine at high levels, in the liver and spleen at moderate levels, and in the heart at low levels. The highest levels

of mRNA for DP IV were detected in the kidney and small intestine as compared to moderate levels found in lung, liver, and spleen. The lowest levels of DP IV mRNA were found in the stomach, testis, heart, muscle and brain.

Hartel-Schenk et al., 1990, studied the occurrence of DP IV during the development in Wistar rat organs on day 10, 16 and 21 of gestation and on day 1, 4, 8, 13, 21, 26 and 60 after birth comparing immunohistochemistry and activity histochemistry. In all investigated tissues, immunoreactivity with the polyclonal antibody appeared earlier than DP IV activity and was already present on day 10 of gestation in the plasma membranes of embryonic and extraembryonic (decidual) cells. At these and other sites, e.g. brain capillary endothelium and tracheal or bronchial epithelium, immunoreactivity with the polyclonal antibody decreased or disappeared after birth and enzyme activity never developed. Immunoreactivity with the monoclonal antibodies appeared later than that with the polyclonal antibody, and mostly in those structures where DP IV activity was subsequently found. The monoclonal antibody against epitope D showed a high reactivity in the epididymal duct, renal collecting ducts and in all domains of the hepatocyte plasma membrane, where neither DP IV activity nor immunoreactivity with the other antibodies were observed. Their results also suggest that DP IV might be present as a molecule before it becomes catalytically active and that immunoreactivity occurs at more sites than DP IV activity. By means of immunohistochemical techniques Bernstein et al, 1987, have investigated the presence of dipeptidyl aminopeptidase IV immunoreactivity in brain material derived from human fetuses, newborns and aged persons. It was revealed that the enzyme protein is abundantly present in the immature human CNS. On the contrary the adult human brain contains much less dipeptidyl aminopeptidase immunoreactivity. It is speculated that the enzyme might play an important role in neuronal proliferation and/or differentiation especially with regard to its possible action on certain neuronotrophic peptides (IGF II, growth hormone). De Bault & Mitro, 1994, examined the localization of membrane proteases glutamyl aminopeptidase (EAP), microsomal alanyl aminopeptidase (mAAP), dipeptidyl peptidase IV (DP IV) and gamma-glutamyl transpeptidase (gamma-GTP) in vessels of the rat subfornical organ (SFO), ependyma which cover the surface of the SFO, and adjacent brain structures. Results of enzyme histochemical reactions showed strong activity for EAP, mAAP, and gamma-GTP, but, in contrast to the above findings, absence of DP IV in microvessels of SFO. The ependyma which cover the SFO was positive for gamma-GTP, but negative for other studied proteases. Their results showed that the spectrum of enzymes in the majority of the vessels of SFO is similar to that of the microvessels of the adjacent brain tissue which were positive for EAP, mAAP, and gamma-GTP, but negative for DP IV. Using antibodies against and cDNA for DP IV. Contrary to these findings, the present invention shows that the administration of DP IV inhibitors like isoleucyl thiazolidine produces an anxiolytic effect in rats. Surprisingly, the present invention shows, that the administration of the DP IV inhibitor isoleucyl thiazolidine exhibits an anxiolytic effect in rats.

The specific molecular target for isoleucyl thiazolidine in the brain is not the DP IV enzyme, which was isolated from placenta by Mentlein (1993) and which he used for his *in vitro* studies. The fact, that the DP IV inhibitor isoleucine thiazolidine alleviates anxiety after i.c.v. administration in rats, gives evidence, that the target of the present invention is one single or a set of DP IV-like enzymes.

As a consequence of the resulting enhanced stability of the endogenous NPY (1-36) caused by the administration of DP IV inhibitors, NPY activity is maintained, increased or prolonged resulting in functionally active NPY Y1 receptor activity facilitating – among others – anti-depressive, anxiolytic and anti-hypertensive effects (see above).

The method of the present invention for treating anxiety in an animal, including humans, in need thereof, comprises potentiating NPY's presence by administrating inhibitors for DP IV, or related enzyme activities. Oral administration of a DP IV inhibitor may be preferable in most circumstances. By the administration of an inhibitor of DP IV or DP IV like enzyme activity, the half-life of the active form of NPY will be appreciably extended, increased or maintained under physiological conditions. The extended presence of active NPY will enhance the NPY Y1 receptor activity.

This invention also provides pharmaceutical compositions. Such compositions comprise a therapeutically (or prophylactically) effective amount of the inhibitor (and/or a sugar pill to accompany administration of a DP IV inhibitor), and a pharmaceutically acceptable carrier or excipient, especially adapted for targeting the brain. Suitable carriers include but are not limited to saline, buffered saline, dextrose, water, glycerol, ethanol, and combinations thereof. The carrier and composition are preferably produced under good laboratory practices conditions and most preferably are sterile. The formulation is ideally selected to suit the mode of administration, in accordance with conventional practice.

Suitable pharmaceutically acceptable carriers include but are not limited to water, salt solutions (for example, NaCl), alcohols, gum arabic, vegetable oils, benzyl alcohols, polyethylene glycols, gelatin, carbohydrates such as lactose, amylose or starch, magnesium stearate, talc, viscous paraffin, perfume oil, fatty acid esters, hydroxymethylcellulose, polyvinyl pyrrolidone, etc. The pharmaceutical preparations can be sterilized and if desired mixed with auxiliary agents, for example, lubricants, preservatives, stabilizers, wetting agents, emulsifiers, salts for influencing osmotic pressure, buffers, coloring, flavoring and/or aromatic substances and the like which do not deleteriously react with the active compounds, but which improve stability, manufacturability and/or aesthetic appeal.

The compositions, if desired, can also contain minor amounts of wetting or emulsifying agents, or pH buffering agents. In addition, the composition can be a liquid solution, suspension, emulsion, tablet, pill, capsule, sustained release formulation, or powder. In addition, the composition can be formulated as

a suppository, with traditional binders and carriers such as triglycerides. Oral formulations can include standard carriers such as pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, polyvinyl pyrrolidone, sodium saccharine, cellulose, magnesium carbonate etc.

Further, the compositions can be formulated in accordance with routine procedures as a pharmaceutical composition adapted for intravenous administration to human beings. Typically, compositions for intravenous administration are solutions in sterile isotonic aqueous buffer. Where necessary, the composition may also include a solubilizing agent and a local anesthetic to ease pain at the site of the injection. Generally, the ingredients are supplied either separately or mixed together in unit dosage form, for example, as a dry lyophilized powder or water free concentrate in a hermetically sealed container such as an ampoule or sachette indicating the quantity of active compound. Where the composition is to be administered by infusion, it can be dispensed with an infusion bottle containing sterile pharmaceutical grade water, saline or dextrose/water. Where the composition is administered by injection, an ampoule of sterile water for injection or saline can be provided so that the ingredients may be mixed prior to administration.

Finally, compositions of the invention can be formulated as neutral or salt forms. Pharmaceutically acceptable salts include those formed with free amino groups such as those derived from hydrochloric, phosphoric, acetic, oxalic, tartaric acid, etc., and those derived from sodium, potassium, ammonium, calcium, ferric hydroxides, isopropylamine, triethylamine, 2-ethylamino ethanol, histidine, procaine, etc.

The amount of the invention's composition which will be effective in the treatment of a particular disorder or condition will depend on the nature of the disorder or condition, and can be determined by standard clinical techniques. In addition, *in vitro* and/or *in vivo* assays may optionally be employed to help identify optimal dosage ranges. The precise dose to be employed in the formulation will also depend on the route of administration, and the seriousness of the disease or disorder, and should be decided according to the judgement of the practitioner and each patient's circumstances.

It will be readily understood by the skilled artisan that numerous alterations may be made to the examples and instructions given herein including the generation of different DP IV inhibitors and alternate therapeutic compositions without departing from either the spirit or scope of the present invention.

The present invention will now be illustrated with reference to the following examples focussing on the anxiolytic and stress-protective action in a genetic model of DP IV deficiency (example 1), the anxiolytic action of pharmacological doses of DP IV inhibitors within the CNS (example 2), the

interaction and potentiation of NPY mediated anxiolytic effects (example 3), and the characterization of an anxiolytic mechanism based on potentiation of NPY Y1 receptor mediated effects (Example 4).

## EXAMPLES OF THE INVENTION

### Example 1

Spontaneous mutations of the DP IV gene observed in substrains of Fischer (F344) rats provide a model for studying the role of DP IV in behavioral regulation and adaptation to stress. The mutations in F344 rats result in a lack of DP IV-like enzymatic activity and are found in substrains from Germany (GER) and Japan (JAP) (Thompson et al., 1991; Tsuji et al., 1992) while rats from US (USA) and Hannover (HAN) breeders show significant enzyme activity. In F344JAP rats, a G633R substitution in the CD26 protein causes a greatly reduced expression of a mutant inactive enzyme (Tsuji et al., 1992; Cheng et al., 1999) while the other DP IV negative F344GER substrain expresses a non-active mutant enzyme (Thompson et al., 1991). The F344JAP rat is therefore be considered as an "protein knock-out" model (Cheng et al., 1999) while the F344GER substrain may represent a "protein over-expression" model (Shingu, Helfritz, Meyer, Schmidt, Mentlein, von Hörsten, submitted). On the basis of these findings, a direct comparison of mutant F344JAP and F344GER substrains with "wild-type" F344USA rats would allow the differentiation between the role of DP IV expression and activity on behavioral regulation and other neurological and psychophysiological functions *in vivo*. In the present example we report that DP IV deficient F344 substrains are less anxious and less responsive to stress-induced physiological changes.

*Animals.* F344USA, F344JAP and F344GER substrains were obtained from the different countries via Charles River Germany. F344Han rats, initially derived from the F344USA substrain, were obtained from a breeding colony at the Central Animal Laboratory at Hannover Medical School (for further information, see: <http://www.mh-hannover.de/institut/tierlabor/f344.htm>). All substrains were bred for one generation at the Central Animal Laboratory at Hannover and maintained in a specific-pathogen-free facility at 25°C under a 12h light-12h dark cycle (light on at 0700 h), with ad libitum access to food and water. For the experiments age-matched weeks old F1 offspring of all substrains was used. The District Government, Hannover, Germany, approved all research and animal care procedures.

*Quantification of DP IV activity in tissue of F344 substrains.* Plasma, lung and various other tissue samples were kept frozen at -80°C until use. Tissue was homogenized and DP IV enzyme activity was detected by incubating the substrate, glycylproline p-nitroanilide (gly-Pro-pNA, 1mg/ml in PBS) (Bachem, Germany), and the color development was measured at 405nm.

*Social interaction (SI) test.* The SI test was carried out as first described by File (1980) and has initially been validated in the laboratory (Kask, Nguyen, Pabst, von Hörsten, submitted). Two rats,

matched for genotype and body weight, were removed from home cages and exposed to the testing environment. The arena was a squared open field (50 x 50 x 50cm) made of aluminum, placed inside a sound isolation box (Coulbourn Instruments, Lehigh Valley, PA). For details of the apparatus see our previous study (von Hörsten et al., 1998c). The open field was lit by a red photo light bulb (Philips PF712E; 1.3 Lux). Rats were unfamiliar with the apparatus. Behavior was monitored using a video camera placed above the field inside the testing/isolation box. The SI behavior of both rats was recorded on-line from a monitor placed outside on top of the box. The following parameters were scored by an observer (HPN) unaware of the substrain of rats: duration of time spent in sniffing, following, crawling under and over other rats, but not passive body contact (resting, sleeping). An increase of the SI time is considered an anxiolytic-like response.

*Stress induced body weight loss.* On three consecutive days, age matched animals from Japanese (JAP), United States (USA) and German (GER) breeders were individually transported to a novel room and remained there for 1h. On the first day a novel cage containing sawdust was used and animals placed in a standard animal rack. On the second day procedure was the same except that the cage was without sawdust. The stress procedure on the third was the same as on day 2 except that the cage was placed on the bottom of the novel room.

*Statistical analysis.* Data from repeated observations were analyzed by two-way analyses of variance for repeated measures (ANOVA) (factors: "substrain" and "change of body weight after stress" as repeated measure). Data obtained from simple measures such as DP IV activity or SI time were analyzed by one-way (factor: "substrain") ANOVA. Asterisks indicate significant post hoc effects vs. F344USA substrain (Control) obtained by Fisher's PLSD. All data are presented as means ± S.E.M.

*DP IV activity in F344 substrains.* Corresponding to the literature, F344GER and F344JAP substrains lack endogenous DP IV activity (Fig. 1). Thus, these rats provide a genetic model for the investigation of the physiological role of DP IV activity in behavioral regulation.

*Anxiety in the social interaction test:* Those F344 substrains that lack DP IV activity (F344JAP and F344GER) spent significantly more time in active social interaction with a novel arena (Fig. 2). Thus, the lack of endogenous DP IV-like activity mediates anxiolytic-like effects.

*Stress-induced body-weight loss:* F344JAP and F344GER lose significantly less body weight after repeated 1h transport stress. Thus, the lack of endogenous DP IV-like activity in these substrains reduces the physiological changes induced by moderate stress (Fig.3).

Together, these data demonstrate that in the genetic model of the DP IV deficient F344 rats the lack of endogenous DP IV activity cause anxiolytic-like and stress-protective effects.

## Example 2

In the previous example we have demonstrated that anxiety and stress-responsiveness were reduced in a genetic model of DP IV deficiency. In the present example, we report that central administration (i.c.v.) of the DP IV inhibitor isoleucyl thiazolidine leads to anxiolytic-like effects in a well-established test of anxiety in rodents, the elevated plus maze test. We further report that also the emotionality of rats in response to novelty as measured by the open field paradigm (Denenberg et al., 1968) is less pronounced in isoleucyl thiazolidine treated rats without affecting activity.

**Animals.** Male WistarF/Han (WF) rats (Central Animal Laboratory, Hannover Medical School, Hannover, Germany, see <http://www.mh-hannover.de/institut/tierlabor/wf.htm> for details), weighing 350-390g, were housed in a sound-proof, temperature controlled ( $24.0\pm0.5^{\circ}\text{C}$ ) room under specific pathogen free conditions with a 12/12h dark/light cycle (lights on at 07.00 with illumination level of 80 Lux). Food (Altromin lab chow pellets) and tap water were available *ad libitum*. Under ketamine/xylazine (100/5 mg/kg, i.p.) anesthesia, the rats were fixed in a Kopf stereotaxic frame and implanted with cannulae (Plastic One, Inc., Roanoke, VA, USA) above the lateral ventricle. All research and animal care procedures were approved by the Lower Saxony district government (Hannover, Germany) and followed principles described in the European Community's Council Directive of 24 November 1986 (86/609/EEC).

**Surgery and i.c.v. application.** For surgery, rats were anesthetized and prepared with i.c.v. cannulae (coordinates: A:-0.7mm caudal, L:1.4mm lateral to bregma; and V:3.2mm ventral to the skull surface; tooth bar +3.0 above ear bars) using standard stereotaxic procedures as described in detail elsewhere (von Hörsten et al., 1998a,b,c). After a 7-day recovery period, successful ventricle cannulation was confirmed by an angiotensin drinking response (von Hörsten et al., 1998a). Rats showing a positive drinking response ( $n=40$ ) were then habituated to experimental handling by daily sham injections for seven days.

Animals were randomly divided into four experimental groups ( $n=8-10/\text{group}$ ), which completed different behavioral tests of experimental anxiety. Animals in each group were treated in an identical way in each phase, receiving i.c.v. injections -60mins before behavioral testing: Artificial cerebrospinal fluid (aCSF) (Control), isoleucyl thiazolidine (0.05nmol), isoleucyl thiazolidine (5nmol) and isoleucyl thiazolidine (500nmol). isoleucyl thiazolidine was adjusted to the final concentration using buffered aCSF and applied in a volume of  $5\mu\text{l}/\text{min}$  into the right lateral ventricle. The cannula was attached to a Hamilton microsyringe with approximately 30cm of polyethylene tubing and all compounds were infused at a rate of  $5.0\ \mu\text{l}/\text{min}$  using a TSE multichannel infusion pump (Bad Homburg, Germany).

*Response to novelty (Open field test).* Differences in the response to novelty induced by central DP-IV antagonism were studied using an open field (OF) test. The general procedure has been described elsewhere in detail (von Hörsten et al., 1993, 1998d). However, the following modifications were applied: During the dark phase, rats were placed in a squared 50x50cm OF within a sound isolated box illuminated by red photo light. Spontaneous activity during a single continuous test session of 15min was recorded using a video path analyzer system (E61-21 Video Path Analyser system, Coulbourn instruments, PA, U.S.A.). The analyzer system determines behavior at 15 one min intervals, analyzing 14 data elements: Wall-, corner-, quadrant 1-4-, locomotion-, rest-, and rearing-time (all in sec.), stereo-, rearing-, rotation clockwise- and counterclockwise-events (all integers), and distance traveled (cm). Furthermore, the total incidence of fecal boli was counted after each session and the incidence and duration (sec) of grooming behavior was simultaneously recorded from a video monitor by a person blind to the treatment of the animals (von Hörsten et al., 1998c).

*Elevated plus maze (EPM) testing.* The elevated plus maze apparatus and the test procedure were adapted according to Fernandes and File 1996 based on general considerations and validation for use with rats. The E+ apparatus (TSE Systems, Bad Homburg, Germany) was made of gray plastic and had two open arms (50 x 10cm) and two enclosed arms of the same size with walls 40cm high, elevated 50cm above the ground. The maze was equipped with light beam sensors that enabled computerized measurement of E+ performance. The maze was lit with red light bulb (Philips PF712E; 1.5 Lux) placed 30 cm above maze in a way that closed arms remained in the shadow. At start of experiment the rat was placed on central platform (10 x 10cm), with its head facing the closed arm, and allowed to freely explore the maze for 5min. The following parameters were calculated: Total numbers of arm entries (TA); entries to closed arms (CA); entries to open arms (OA); percentage frequency of entries to open arms (%OA: OA×100/TA); total trial duration (TT): 300s; duration of stay in closed arms (closed time; CLT); percentage share of CLT in total arms-stay duration (CLT×100/AT); duration of stay in open arms (open time; OT) and percentage share of OT in total arm-stay duration (%OT: OT×100/AT). In addition to the standard spatiotemporal measurements, "time spent and percentage of time on the central square" were recorded (center time, CT: duration of stay on platform in seconds; percentage share of CT in trial duration, %CT: CT×100/TT). An increase of the time spent on the open arms is interpreted as an anxiolytic response, a decrease of this parameter an anxiogenic response, whereas the number of entries into closed arms provides an indication of general activity (Pellow et al., 1985).

*Statistical analysis.* For statistical analysis, behavioral raw data from every test minute were analyzed by two-way analyses of variance (ANOVA) for repeated measures (factors: treatment and time as a repeated measurement). Data obtained as totals from a session were analyzed by one-way (factor:

treatment) ANOVA. Significant post hoc effects vs. aCSF (Control) obtained by Fisher's PLSD are indicated by an asterisk. All data are presented as means  $\pm$  S.E.M.

*Response to isoleucyl thiazolidine in the open field:* isoleucyl thiazolidine had no effect on activity in the open field in a wide range of dosages (Fig. 4). As a characteristic of reduced emotionality in the open field, there was a dose-dependent reduction of the time spent close to the wall induced by isoleucyl thiazolidine (Fig. 5). Very low dosage of 50pmol was already effective.

*Response to isoleucyl thiazolidine in the EPM:* isoleucyl thiazolidine at low dose (50pmol) increased the percentage of time spent on the open arms of the maze being indicative for an anxiolytic-like effect (Fig. 6). Together, these data indicate for the first time that the administration of pharmacological doses of the DP IV inhibitor isoleucyl thiazolidine produces dose dependent anxiolytic-like effects in two animal models of anxiety.

### Example 3

In the present example, we report that central administration (i.c.v.) of the DP IV inhibitor isoleucyl thiazolidine itself has also dose-dependent anxiolytic effects in the social interaction test of anxiety. We also report that the magnitude of anxiolysis by isoleucyl thiazolidine is similar to that produced by NPY. In addition, we show that combined application of isoleucyl thiazolidine and NPY has additive anxiolytic effects in the SI test. Finally, we show that 1h and 12h food intake is less affected by isoleucyl thiazolidine treatment alone and in combinations.

*Animals.* Male WistarF/Han (WF) rats (Central Animal Laboratory, Hannover Medical School, Hannover, Germany, see <http://www.mh-hannover.de/institut/tierlabor/wf.htm> for details), weighing 330-370g, were housed in a sound-proof, temperature controlled ( $24.0 \pm 0.5^\circ\text{C}$ ) room under specific pathogen free conditions with a 12/12h dark/light cycle (lights on at 07.00 with illumination level of 80 Lux). Food (Altromin lab chow pellets) and tap water were available *ad libitum*. Under ketamine/xylazine (100/5 mg/kg, i.p.) anesthesia, the rats were fixed in a Kopf stereotaxic frame and implanted with cannulae (Plastic One, Inc., Roanoke, VA, USA) above the lateral ventricle. All research and animal care procedures were approved by the Lower Saxony district government (Hannover, Germany) and followed principles described in the European Community's Council Directive of 24 November 1986 (86/609/EEC).

*Surgery and i.c.v. application.* For surgery, rats were anesthetized and prepared with i.c.v. cannulae (coordinates: A:-0.7mm caudal, L:1.4mm lateral to bregma; and V3.2mm ventral to the skull surface; tooth bar +3.0 above ear bars) using standard stereotaxic procedures as described in detail elsewhere (von Hörsten et al., 1998a,b,c). After a 7-day recovery period, successful ventricle

cannulation was confirmed by an angiotensin drinking response (von Hörsten et al., 1998a). Rats showing a positive drinking response ( $n=59$ ) were then habituated to experimental handling by daily sham injections for seven days.

Animals were randomly divided into two sets of four experimental groups ( $n=5-6/\text{group}$ ), which completed different consecutive SI test of anxiety. Animals in each group were treated in an identical way in each phase, receiving i.c.v. injections -60mins and -45min before behavioral testing with different dosages (isoleucyl thiazolidine: 5pmol-500nmol; NPY: 50pmol-1.6nmol). isoleucyl thiazolidine was adjusted to the final concentration using buffered aCSF and applied in a volume of 5 $\mu\text{l}/\text{min}$  into the right lateral ventricle. The cannula was attached to a Hamilton microsyringe with approximately 30cm of polyethylene tubing and all compounds were infused in a total volume of 5.0 $\mu\text{l}$  at a rate of 5.0  $\mu\text{l}/\text{min}$  using a TSE multichannel infusion pump (Bad Homburg, Germany). The time spent in active social interaction (SI-time), 1h and 12h food-intake were measured. Animals were repeatedly tested with randomly chosen treatment and always-new interaction partners. Tests were separated with at least 4 days from each other and always conducted in the dark cycle. Five tests series were performed. Each test, spanning four groups (aCSF+aCSF; aCSF+NPY; isoleucyl thiazolidine+aCSF; isoleucyl thiazolidine+NPY) of 5-6 animals per condition.

*Social interaction (SI) test.* The SI test was carried out as first described by File (1980) and has initially been validated in the laboratory (Kask, Nguyen, Pabst, von Hörsten, submitted). Two rats, matched for genotype and body weight, were removed from home cages and exposed to the testing environment. The arena was a squared open field (50 x 50 x 50cm) made of aluminum, placed inside a sound isolation box (Coulbourn Instruments, Lehigh Valley, PA). For details of the apparatus see our previous study (von Hörsten et al., 1998c). The open field was lit by a red photo light bulb (Philips PF712E; 1.3 Lux). Rats were unfamiliar with the apparatus. Behavior was monitored using a video camera placed above the field inside the testing/isolation box. The SI behavior of both rats was recorded on-line from a monitor placed outside on top of the box. The following parameters were scored by an observer (HPN) unaware of the substrain of rats: duration of time spent in sniffing, following, crawling under and over other rats, but not passive body contact (resting, sleeping). An increase of the SI time is considered an anxiolytic-like response.

*Statistical analysis.* Data from repeated observations (food intake) were analyzed by two-way analyses of variance for repeated measures (ANOVA) (factors: "substrain" and "food intake" as repeated measure). Data obtained from simple measures such as DP IV activity or SI time were analyzed by one-way (factor: "substrain") ANOVA. Asterisks indicate significant post hoc effects vs. aCSF+aCSF (Control) obtained by Fisher's PLSD. All data are presented as means  $\pm$  S.E.M.

*Dose dependent anxiolysis in the SI test by isoleucyl thiazolidine.* Central administration of the DP IV inhibitor isoleucyl thiazolidine (group: aCSF+isoleucyl thiazolidine: 5pmol-500nmol) produced "bell-shaped" dose-dependent anxiolytic-like effects in the social interaction test of anxiety (Fig. 7). This demonstrates that isoleucyl thiazolidine acts also in the SI test as a potent anxiolytic-like compound - similar to the EPM and the open field tests (see example 2). I.c.v. application of NPY (0.05-1.6nmol) had a similar anxiolytic-like effect (Fig. 7). This finding replicates the known anxiolytic-like action of NPY, as described in the background art. The comparison of isoleucyl thiazolidine-mediated effects with those of NPY indicates that the inhibitor is of similar potency. Interestingly, pretreatment of isoleucyl thiazolidine followed by NPY produced an additive effect over a wide range of dosages (Fig. 7), suggesting that these compounds act through the same mechanism. As described in the prior art, this mechanisms is most probably the activation of CNS Y1 receptors.

*Minor effects of isoleucyl thiazolidine on feeding.* Fig.8 and Fig. 9 demonstrate that at 1h NPY produced an "u-shaped" dose-dependent feeding effect (Fig. 8). isoleucyl thiazolidine produced a mild feeding effect that only at 0.05nmol dose reach significance (Fig.8). Combined treatment of isoleucyl thiazolidine followed by NPY differed not from NPY alone (except at highest non-physiological dosages), suggesting that the feeding effect of NPY is not mediated through a mechanism that is affected by isoleucyl thiazolidine. Most data in the prior art indicate that the dark cycle feeding effect of NPY is primarily Y5 receptor mediated. Over-night food-intake was not affected by any treatment, except at extremely high dosages (Fig. 9). Thus, central application of the DP IV inhibitor isoleucyl thiazolidine does not affect major feeding regulatory systems (i.e. the NPY Y5 receptor).

#### Example 4

In the present example we report on the mechanism for the potentiation of NPY mediated anxiolytic-like effects at moderate dosages (isoleucyl thiazolidine, 50nmol; NPY, 0.8nmol) as shown in Fig. 7 of Example 3. We confirm that pre-treatment using the NPY Y1 receptor antagonist BIBP3226 can block the isoleucyl thiazolidine-induced potentiation of the NPY mediated anxiolytic-like effect on SI behavior and furthermore show that 1h feeding effects are only partly affected by blockade of the Y1R.

*Animals.* Male WistarF/Han (WF) rats (Central Animal Laboratory, Hannover Medical School, Hannover, Germany, see <http://www.mh-hannover.de/institut/tierlabor/wf.htm> for details), weighing  $330\pm31$  g $\pm$ SD, were housed in a sound-proof, temperature controlled ( $24.0\pm0.5^\circ\text{C}$ ) room under specific pathogen free conditions with a 12/12h dark/light cycle (lights on at 07.00 with illumination level of 80 Lux). Food (Altromin lab chow pellets) and tap water were available *ad libitum*. Under ketamine/xylasine (100/5 mg/kg, i.p.) anesthesia, the rats were fixed in a Kopf stereotaxic frame and implanted with cannulae (Plastic One, Inc., Roanoke, VA, USA) above the lateral ventricle. All research

and animal care procedures were approved by the Lower Saxony district government (Hannover, Germany) and followed principles described in the European Community's Council Directive of 24 November 1986 (86/609/EEC).

*Surgery and i.c.v. application.* For surgery, rats were anesthetized and prepared with i.c.v. cannulae (coordinates: A:-0.7mm caudal, L:1.4mm lateral to bregma; and V3.2mm ventral to the skull surface; tooth bar +3.0 above ear bars) using standard stereotaxic procedures as described in detail elsewhere (von Hörsten et al., 1998a,b,c). After a 7-day recovery period, successful ventricle cannulation was confirmed by an angiotensin drinking response (von Hörsten et al., 1998a). Rats showing a positive drinking response ( $n=56$ ) were then habituated to experimental handling by daily sham injections for seven days.

Animals were randomly divided into eight experimental groups ( $n=6-8/\text{group}$ ), which completed one SI test of anxiety: (1) aCSF+aCSF+aCSF; (2) BIBP+aCSF+aCSF; (3) aCSF+isoleucyl thiazolidine+aCSF; (4) BIBP+isoleucyl thiazolidine+aCSF; (5) aCSF+aCSF+NPY; (6) BIBP+aCSF+NPY; (7) aCSF+P32/89+NPY; (8) BIBP+isoleucyl thiazolidine+NPY. Animals in each group were treated in an identical way in each phase, receiving i.c.v. injections -60mins and -55min before behavioral testing. Experiments spanned two nights with groups counterbalances on both dark cycles. The injection cannula was attached to a Hamilton microsyringe with approximately 30cm of polyethylene tubing and all compounds were infused in a total volume of 5.0 $\mu\text{l}$  at a rate of 5.0  $\mu\text{l}/\text{min}$  using a TSE multichannel infusion pump (Bad Homburg, Germany). The time spent in active social interaction (SI-time), 1h, and 12h food-intake were measured.

*Peptides and Antagonist.* Rat neuropeptide Y<sub>1-36</sub> was obtained from Polypeptide Laboratories (Wolfenbüttel, Germany). The NPY Y1 receptor antagonist BIBP3226 was purchased from American Peptide Company, Sunnyvale, CA, USA (Cat#:60-1-22B). All drugs were dissolved in sterile water and final dilutions were made with aCSF.

*Social interaction (SI) test.* The SI test was carried out as first described by File (1980) and has initially been validated in the laboratory (Kask, Nguyen, Pabst, von Hörsten, submitted). Two rats, matched for treatment, were removed from home cages and exposed to the testing environment. The arena was a squared open field (50 x 50 x 50cm) made of aluminum, placed inside a sound isolation box (Coulbourn Instruments, Lehigh Valley, PA). For details of the apparatus see our previous study (von Hörsten et al., 1998c). The open field was lit by a red photo light bulb (Philips PF712E; 1.3 Lux). Rats were unfamiliar with the apparatus. Behavior was monitored using a video camera placed above the field inside the testing/isolation box. The SI behavior of both rats was recorded on-line from a monitor placed outside on top of the box. The following parameters were scored by an observer (HPN) unaware

of the substrain of rats: duration of time spent in sniffing, following, crawling under and over other rats, but not passive body contact (resting, sleeping). An increase of the SI time is considered an anxiolytic-like response.

*Statistical analysis.* Data obtained from the measures SI time and 1h food intake were analyzed by one-way (factor: "treatment") ANOVA. In addition, three factorial (factors: "Antagonist", "Inhibitor", "NPY") ANOVA was performed to confirm general conclusions (data not shown). Asterisks indicate significant post hoc effects vs. aCSF+aCSF+aCSF (Control) while "#" signs indicate a significant difference of Y1R antagonist treatment vs. the corresponding treatment without antagonist obtained by Fisher's PLSD. The level of significance in post hoc comparisons is indicated by asterisks with "\*" =  $p<0.05$ ; "\*\*" =  $p<0.01$ ; "\*\*\*" =  $p<0.001$ ) and by "#" symbols with "#" =  $p<0.05$ ; "##" =  $p<0.01$ ; "###" =  $p<0.001$ ). All data are presented as means  $\pm$  S.E.M.

*NPY Y1 receptor mediated potentiation of NPY-induced anxiolysis in the SI test by isoleucyl thiazolidine pre-treatment.* Combined central administration of isoleucyl thiazolidine at a dose of 50nmol and NPY at a dose of 0.8nmol dramatically potentiated the anxiolytic-like effect of NPY in the SI test (Fig. 10). This finding replicates at corresponding dosages the observation shown in Fig. 7. At this dose isoleucyl thiazolidine hemifumarate (p32/98) treatment alone acts not anxiolytic-like. However, the endogenous social investigatory behavior, the anxiolytic-like effect of NPY and the potentiated anxiolysis induced by combined isoleucyl thiazolidine+NPY treatment were all antagonized by Y1 receptor blockade. This indicates a tonic regulation of anxiety levels via the NPY Y1 receptor in the CNS and furthermore proofs that the anxiolytic-like effect of NPY as well as the potentiated anxiolysis induced by combined treatment all are primarily Y1 receptor mediated. Spontaneous feeding and NPY-induced feeding are only partly mediated by the Y1R and the non-significant slight increase of feeding induced by isoleucyl thiazolidine treatment is blocked by Y1R antagonisms (Fig. 11).

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**Claims**

1. Use of an inhibitor of dipeptidyl peptidase IV (DP IV or CD 26) or of a DP IV-like enzyme for the production of a medicament for modulating behavioral and/or neurological adaptive responsiveness to stress including anxiety.
- 5
2. Use according to claim 1 for the production of a medicament for the reduction of degradation of the endogenous, CNS-localized neuropeptide Y (NPY) and other substrates sharing similar properties.
- 10
3. Use according to any one of the preceding claims for the production of a medicament for the treatment of psychosomatic, depressive and neuropsychiatric diseases.
4. Use according to claim 3, characterized in that the psychosomatic, depressive and
- 15
- neuropsychiatric diseases are selected from the group consisting of anxiety disorders, depression, insomnia, chronic fatigue, schizophrenia, epilepsy, eating disorders, spasm and chronic pain.
5. Use according to any one of the preceding claims, characterized in that the inhibitors are used in combination with neuropeptide Y.
- 20
6. Use according to any one of the preceding claims, characterized in that the inhibitors are present in a physiologically compatible drug delivery vehicle.
7. Use according to any one of the preceding claims, characterized in that the inhibitors are
- 25
- formulated as prodrugs of the inhibitors.
8. Use according to any one of the preceding claims, characterized in that the inhibitors are used parenterally, enterally, orally, by inhalation or as a suppository.

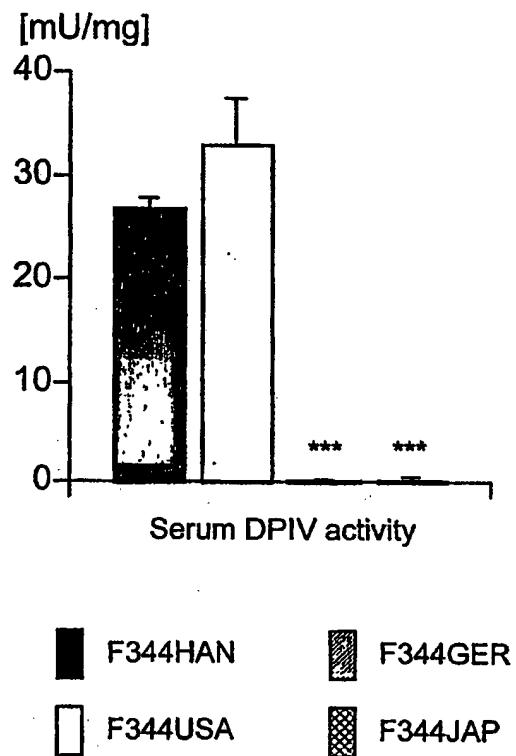


FIG. 1

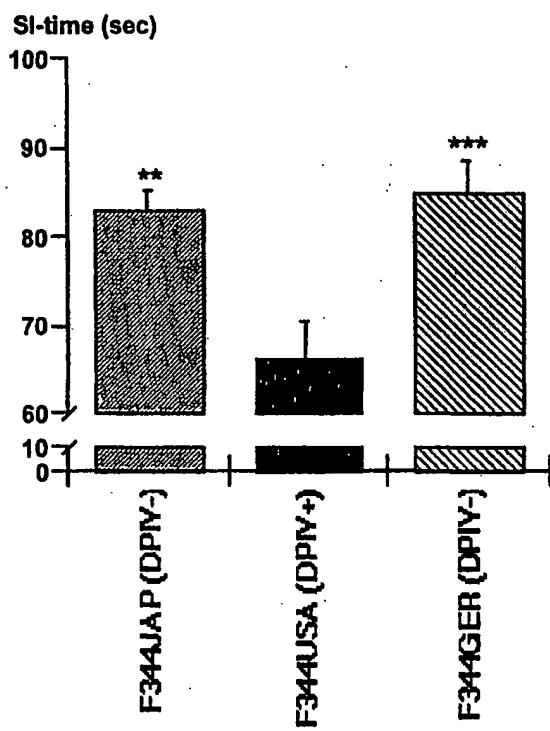


FIG. 2

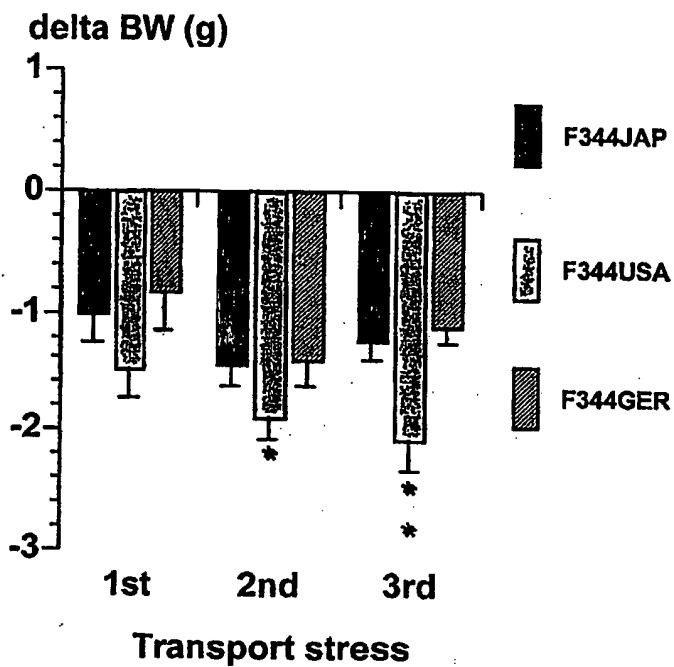


FIG. 3

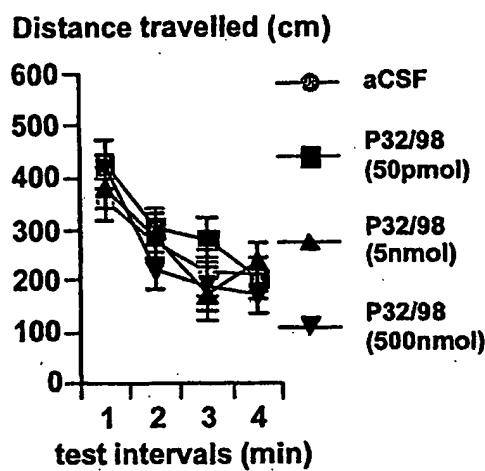


FIG. 4

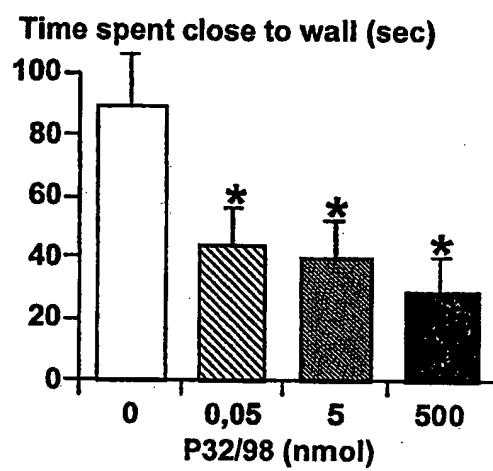


FIG. 5

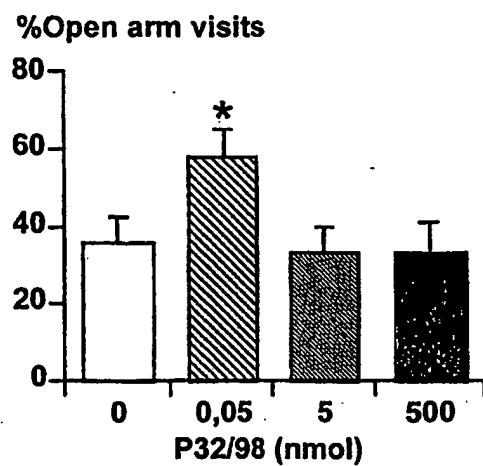


FIG. 6

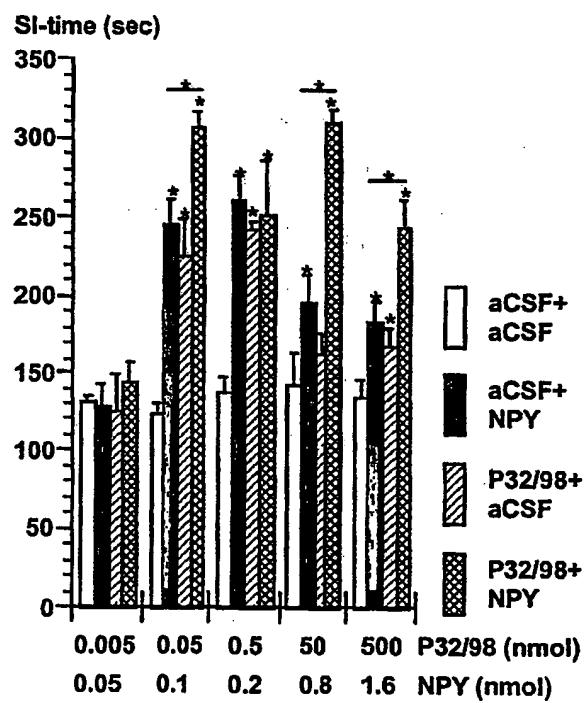


FIG. 7

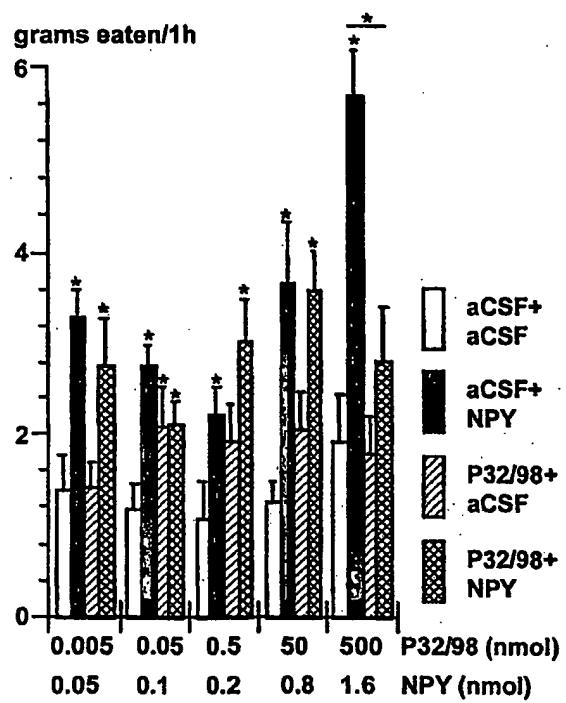


FIG. 8

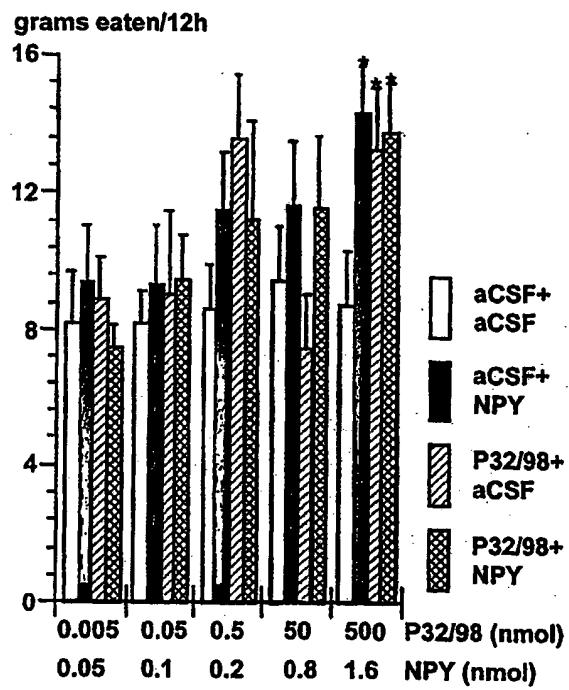


FIG. 9

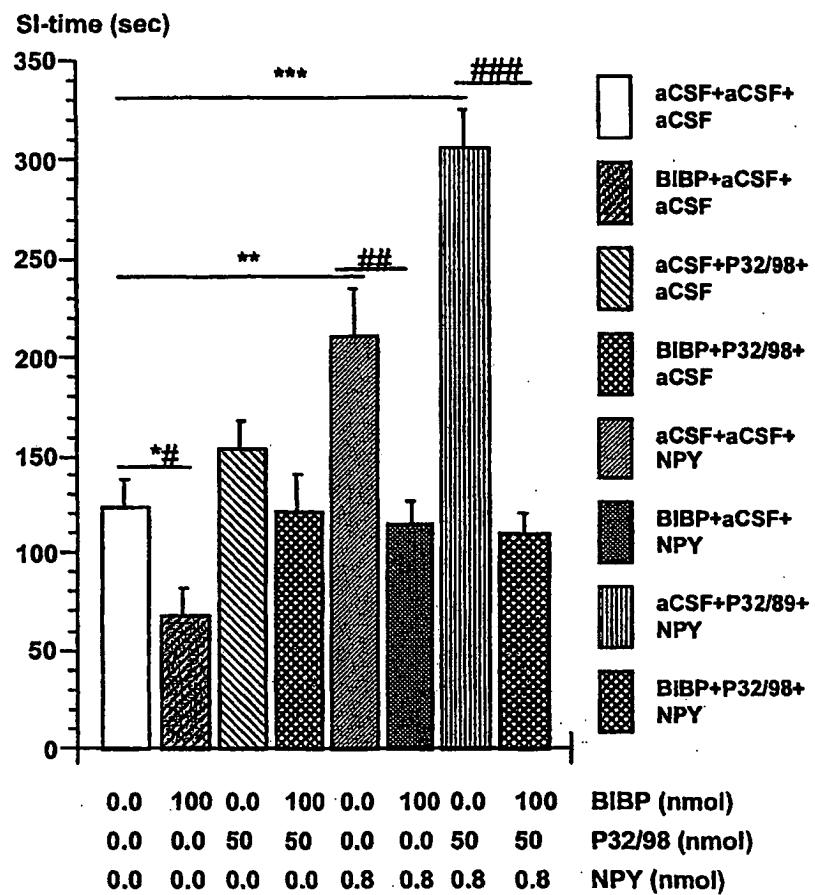


Fig. 10

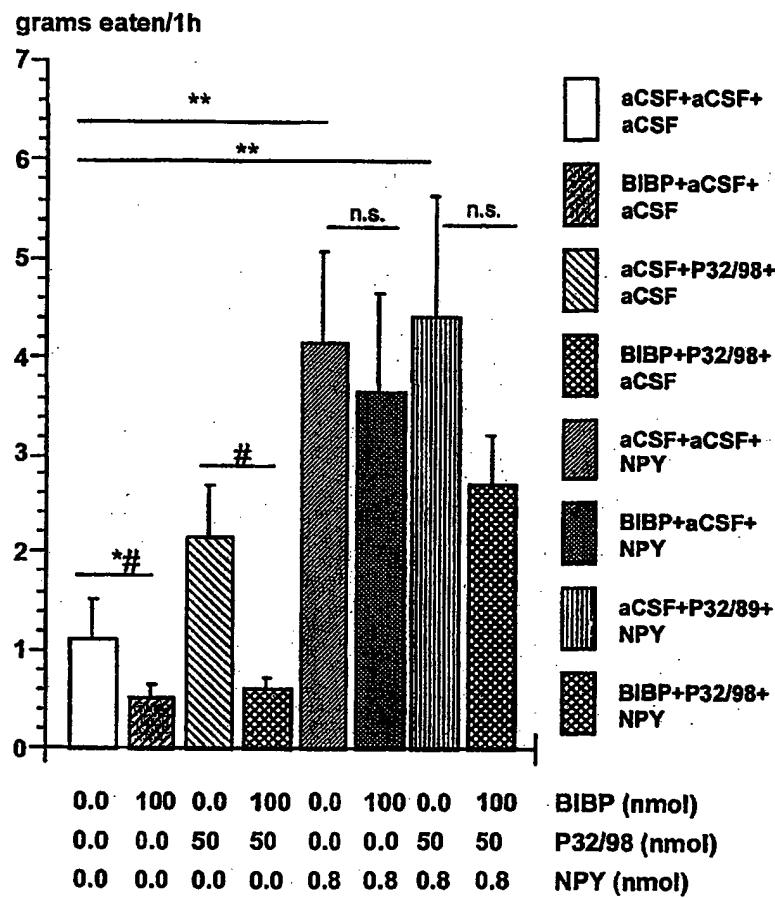


FIG. 11